



# Simplicial Graviton from Selfdual Ashtekar Variables

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## Outline and Motivation

Quantum gravity in finite regions — a paradigm of *quasi-local holography*.

- It is *quasi-local* rather than *local*, because observables are attached to finite regions rather than points on the manifold.
- It is *holographic*, because evolution is studied through the exchange of charges at the boundary separating system and environment.

Paradigm connecting research on [quantum reference frames](#), [quantum gravity](#), [observables](#), [holography](#).

[In this talk, I will focus on only one recent development:](#) Quasi-local regularization of constraint algebra for selfdual gravity with potential connection to earlier results by A. Ashtekar and M. Varadarajan. Simplicial version of time evolution as spatial diffeomorphism.

\*Laurent Freidel, Marc Geiller, ww, [Corner symmetry and quantum geometry](#),

Springer Handbook of Spacetime (2023), [arXiv:2302.12799](#).

\*ww, [Simplicial Graviton from Selfdual Ashtekar Variables](#) (2023), [arXiv:2305.01803](#).

\*Abhay Ashtekar, Madhavan Varadarajan, [Gravitational dynamics: A novel shift in the Hamiltonian paradigm](#), Universe 7, 13 (2021), [arXiv:2012.12094](#).

\*Valentin Bonzom, Laurent Freidel, [The Hamiltonian constraint in 3d Riemannian loop quantum gravity](#), Class. Quant. Grav. 28 (2011), [arXiv:arXiv:1101.3524](#).

Back to selfdual variables

[Ashtekar (1986)]: general relativity put on the phase space of an  $SL(2, \mathbb{C})$  Yang–Mills theory.

$$\{\tilde{E}_i^a(x), A^j_b(y)\} = 8\pi i G \delta_i^j \delta_b^a \tilde{\delta}^{(3)}(x, y),$$

$a, b, c, \dots$  are tangent indices and  $i, j, \dots$  refer to the internal  $SL(2, \mathbb{C})$  directions. There are three of them as  $SL(2, \mathbb{C})$  is treated here as complexification of  $SU(2)$  (complex structure  $\leftrightarrow$  normal vector to  $\Sigma$ ).

The constraints are the simplest possible gauge-invariant polynomials on the now complexified phase space.

$$\text{Gauss constraint: } \tilde{\mathcal{G}}_i = D_a \tilde{E}_i^a = 0,$$

$$\text{Vector constraint: } \tilde{H}_a = F^i_{ab} \tilde{E}_i^b = 0,$$

$$\text{Hamiltonian constraint: } \tilde{H} = \epsilon_i^{lm} F^i_{ab} \tilde{E}_l^a \tilde{E}_m^b.$$

**N.B.:** Scalar constraint defines an inverse super-metric

$G = \frac{1}{2} \epsilon_i^{lm} F_{ab}^i [A] \frac{\delta}{\delta A^i_a} \otimes \frac{\delta}{\delta A^j_b}$  on configuration space. ADM-type action

$$S = \int dt \int_{\Sigma} \left( \tilde{E}_i^a \underbrace{\left( \frac{d}{dt} A^i_a - D_a \Lambda^i + F_{ab}^i N^b \right)}_{\frac{D}{dt} A^i_a} - \tilde{N} \epsilon_i^{lm} F_{ab}^i [A] \tilde{E}_l^a \tilde{E}_m^b \right).$$

Compare this with the worldline action for  $I = 1, \dots, K$  (uncoupled) massless particles

$$S = \int dt \left( \sum_{I=1}^K p_{\mu}^I(t) \frac{d}{dt} q_I^{\mu}(t) - \sum_{I=1}^K N_I g^{\mu\nu}(q_I(t)) p_{\mu}^I(t) p_{\nu}^I(t) \right).$$

Physical spacetimes carve out null geodesic in the space of self-dual connections.

All constraints are **first-class** (as in Yang–Mills). However, also very different from Yang–Mills, as there are **structure functions** rather than structure constants.

$$\begin{aligned} \{G_i[\Lambda^i], G_j[M^j]\} &= -8\pi i G G_i [[\Lambda, M]^i], \\ \{H_a[N^a], H_b[M^b]\} &= -8\pi i G \left( H_a [[N, M]^a] - G_i [F^i_{ab} N^a M^b] \right), \\ \{H_a[N^a], H[\underline{N}]\} &= -8\pi i G \left( H[\mathcal{L}_{\underline{N}} N] + G_i [\epsilon_j^{ki} F^j_{ab} \tilde{E}_k^a \underline{N} N^b] \right), \\ \{H[\underline{N}], H[\underline{M}]\} &= +8\pi i G H_a [[\underline{N}, \underline{M}]^a], \end{aligned}$$

where e.g.  $G_i[\Lambda^i] = \int_{\Sigma} \tilde{\mathcal{G}}_i \Lambda^i$ , and  $[\underline{N}, \underline{M}]^a = \delta^{ij} \tilde{E}_i^a \tilde{E}_j^b (\underline{N} D_b \underline{M} - \underline{M} D_b \underline{N})$ .

**N.B.:** Algebra still regular for degenerate geometries (squashed triads)

$$\frac{1}{3!} \epsilon^{ijk} \underline{\xi}_{abc} \tilde{E}_i^a \tilde{E}_j^b \tilde{E}_k^c = 0.$$

Counting *complex* degrees of freedom:

Each point  $x$  on  $\Sigma$  carries kinematical variables  $A^i_a(x)$  and  $\tilde{E}_i^a(x)$ .

Kinematical degrees of freedom per points of  $\Sigma$ :

$$3 \times 3 = 9 \quad (\text{complex degrees of freedom})$$

Physical degrees of freedom per points of  $\Sigma$ :

$$9 - 3 - 3 - 1 = 2 \quad (\text{complex degrees of freedom})$$

These are the two modes of polarization of the non-linear graviton.

We have no complete set of Dirac variables that could access these modes at the full non-linear level. Standard constructions of Dirac observables and physical phase space rely on additional auxiliary structures, e.g. boundary conditions, asymptotic falloff conditions, perturbation theory.

**N.B.:** Phase space is complex, all constraints are analytic functionals on phase space. Going back to metric GR requires additional reality conditions.

All physics relies on truncations. **Idea:** find a way to isolate the two physical modes at the discretised level.

**Basic strategy:** introduce simplicial decomposition. Blow up points on the initial manifold and replace them by simplicial building blocks (tetrahedra).

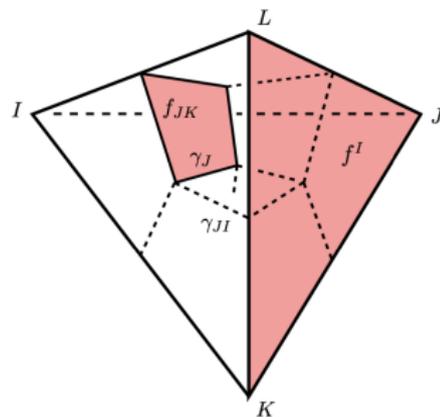
**Perhaps overly naïve, but if it works we could expect:** each simplicial cell represents a fundamental *atom of geometry*. Ignoring for a moment additional boundary modes perhaps necessary, each such *atom of space* is expected to carry two physical modes (four complex phase space dimensions). Thus localizing radiative modes in each simplicial cell.

Step 1: new regularization of the constraints

# Lattice and dual lattice in a single cell

Introduce a simplicial discretisation of  $\Sigma$ . Consider a single building block, an elementary tetrahedron  $T \subset \Sigma$ .

- Boundary of the tetrahedron consists of four triangles  $f^1, f^2, f^3, f^4$ .
- Each such *face*  $f^I$  is dual to a *half link*  $\gamma_I$  connecting the centroid of  $T$  with the centroid of  $f^I$ .
- *Boundary links*  $\gamma_{JI} \subset \partial T$  connect the centroid of  $f^I$  with the centroid of  $f^J$  along the boundary of  $T$ .
- The dual faces  $f_{JK}$  are bounded by the *loop*  $\gamma_J^{-1} \circ \gamma_{KJ} \circ \gamma_K$ .



## Comments:

- We need to speak about such internal loops (wedge holonomies)—otherwise it is impossible to regularise the field strength  $F_{ab}^i$  in a given cell  $T$ .
- Without wedge holonomies, non-local construction necessary in which the field strength is smeared over a plaquette connecting many tetrahedra.
- We want to avoid such non-local construction, otherwise seems hopeless to do constraint analysis on arbitrary triangulation.

This is a departure from *Regge calculus*, where each building block is assumed to be flat. No such assumption here. Hence, there is curvature in each building block.

- **Bulk holonomies:**  $h_I = \text{Pexp}\left(-\int_{\gamma_I} A\right)$ .

- **Boundary holonomies:**

$$h_{IJ} = \text{Pexp}\left(-\int_{\gamma_{IJ}} A\right) = g_J^{-1} g_I.$$

- **Assumption:** All curvature concentrated in the bulk. Boundary flatness:

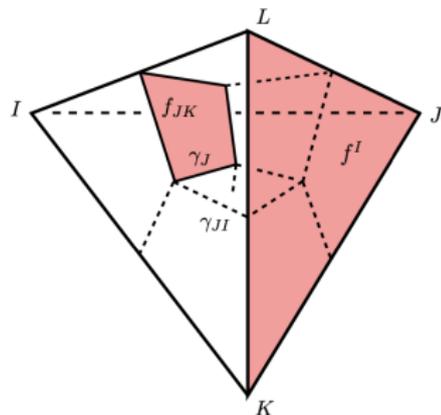
$$h_{IK} h_{KJ} h_{JI} = \mathbb{1}.$$

- Bulk curvature:

$$F_{IJ} := \text{Pexp}\left(-\oint_{f_{IJ}} A\right) = h_J^{-1} h_{IJ} h_I.$$

- Non-abelian Stokes's theorem:

$$\text{Pexp}\left(-\oint_{\partial f} A\right) = \text{Sexp}\left(-\int_f F\right) \approx \mathbb{1} - \int_f F^i \tau_i + \dots$$



Besides the holonomies (magnetic fluxes), we have the electric fluxes.

- Ashtekar electric flux:

$$E_i^I = \int_{f^I} (E_j)_x [g^{-1}(x) g_I h_I]^j{}_i.$$

- Adapted triad:  $u_1^a = X_1^a - X_4^a$ ,  $u_2^a = X_2^a - X_4^a$ ,  $u_3^a = X_3^a - X_4^a$ .

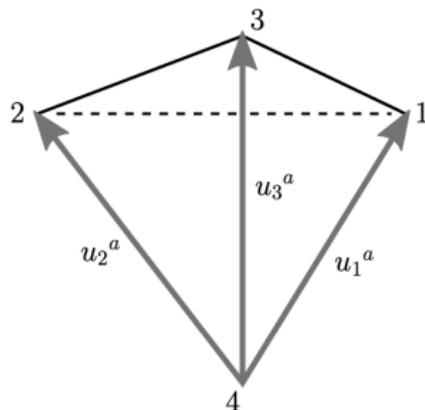
- Dual triad:  $u^\mu{}_a : u_\alpha^a u^\alpha{}_b = \delta_b^a$ .

- Adapted coordinates  $(u^1, u^2, u^3)$  such that tetrahedron is the point set  $u^\alpha > 0$ ,  $\sum_{\alpha=1}^3 u^\alpha < 1$ .

- Electric and magnetic fluxes:

$$E_i^1 \approx -\frac{1}{2} \epsilon_{abc} u_2^a u_3^b u_\mu^c u^\mu{}_a \tilde{E}_i^a|_c = -\frac{1}{2} (d^3 u)^{-1} u^1{}_a \tilde{E}_i^a|_c,$$

$$\frac{1}{6} F^i{}_{ab} u_\alpha^a u_\beta^b|_c \approx F^i[f_{\alpha\beta}] - F^i[f_{4\beta}] - F^i[f_{\alpha 4}], \text{ where: } F^i[f] = \int_f F^i.$$



In the continuum, the smeared Gauss constraint is

$$G_i[\Lambda^i] = \int_{\Sigma} \Lambda^i D_a \tilde{E}_i^a = 0.$$

Choose a test function  $\Lambda^i$  that vanishes everywhere except in  $T$ . At the discretised level, the constraint is then well approximated by the **closure constraint** in each tetrahedron

$$G_i^T[\Lambda^i] = \sum_{I=1}^4 \Lambda^i E_i^I = 0.$$

**N.B.:** If we also impose reality conditions on  $E_i^I$ , the **closure constraint** allows to assign a geometric data (edge lengths) to each tetrahedron via Minkowski theorem.

$$\text{if } G_i^T = 0 \quad \text{and} \quad E_i^I = \bar{E}_i^I : E_i^I = n_i^I(\{\ell_e\}, R) \text{ area}(\{\ell_e\}).$$

# Regularisation of the vector constraint

In the continuum, the smeared vector constraint is

$$H_a[N^a] = \int_{\Sigma} N^a F^i{}_{ab} \tilde{E}_i{}^b.$$

- Set  $N^a = 0$  except in  $T$ .
- Set in  $T$ :  $N^a = N^\mu u_\mu{}^a$ ,  $\mu = 1, 2, 3$ .
- Use non-abelian Stokes's theorem

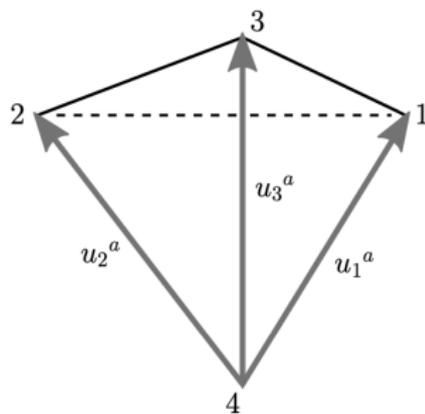
$$F_{IJ} = \text{Pexp}\left(-\oint_{\partial f_{IJ}} A\right) \approx \mathbb{1} - \oint_{f_{IJ}} F.$$

- Introduce fourth auxiliary direction

$$N^4 = -\sum_{\mu=1}^3 N^\mu.$$

- Regularized vector constraint

$$\int_T N^a F^i{}_{ab} E_i{}^b \approx -4 \sum_{I,J=1}^4 N^I \text{Tr}(\tau^j F_{IJ}) E_j{}^J.$$



The scalar constraint is smeared against an *inverse density*

$$H[\underline{N}] = \int_{\Sigma} \underline{N} \epsilon_i{}^{lm} F^i{}_{ab} \tilde{E}_l{}^a \tilde{E}_m{}^b.$$

We split this integral into two parts. We assume  $\underline{N} = 0$  outside  $T$ . Within  $T$ , we regularize it by introducing the smeared quantity

$$N_T := \left[ \int_T \underline{N}^{-1} \right]^{-1},$$

which is independent of metric and connection (a  $c$  number).

Assuming the fields are slowly varying in  $T$ , we obtain the regularized constraint

$$\int_T \underline{N} \epsilon_i{}^{lm} F^i{}_{ab} \tilde{E}_l{}^a \tilde{E}_m{}^b \approx \frac{8}{3} \sum_{I,J=1}^4 N_T \text{Tr}(\tau^J F_{IJ} \tau^I) E_i{}^I E_j{}^J.$$

Step 2: additional closure constraint

## Is there the right number of physical modes per lattice site?

The commutation relations for the *half holonomies* and *fluxes* define the phase space  $T^*SL(2, \mathbb{C})^4$ .

Is there a chance that we obtain the correct number of physical modes per lattice site?

The contribution to the symplectic potential from each lattice site is

$$\Theta_T(\delta) = 16\pi i G \sum_{I=1}^4 E_i^I \text{Tr}(\tau^i h_I^{-1} \delta h_I).$$

Now all four directions are treated as functionally independent. Yet in the discrete, the tangent indices  $a, b, c, \dots$  refer to a three-dimensional space.

**Tension:** In the continuum, we have

$$\Theta_M(\delta) = 8\pi i G \int_M \tilde{E}_i^a \delta A^i_a.$$

Assuming all discretised constraints are first-class, we would be left with three additional spurious degrees of freedom:

$$3 \times 4 - 3 - 3 - 1 = 5 = 2 + 3.$$

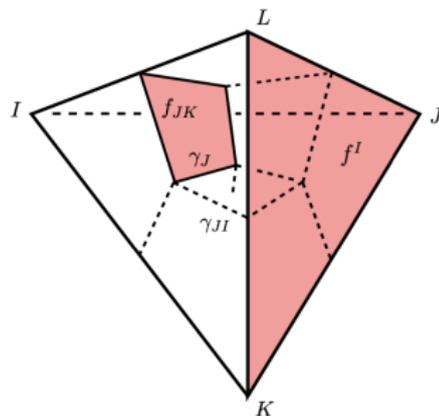
To remove the additional unphysical modes, it seems necessary to add one **additional closure constraint**.

Consider the dressed closure constraint (sort-of Bianchi identity?)

$$\sum_{K=1}^4 G_{k(K)} := \frac{1}{4} \sum_{I,K=1}^4 [F_{KI}]^i_k E_i^I.$$

- In the continuum limit, this constraint is functionally dependent of the other constraints.
- It becomes proportional to the usual closure constraint.
- $[F_{KI}]^i_k$  is the adjoint representation:  $h^{-1}\tau^i h = [h]^i_j \tau^j$  with  $\tau_i$  Pauli matrices.
- Furthermore, for Regge-like curvature, the dressed closure constraint is again proportional to the usual closure constraint:

Regge-like configurations:  $E_i^I = [F_{KI}]^i_k E_i^I.$



The set of constraints per each tetrahedron is first-class. With constraints:

closure constraint: 
$$G_i = \sum_{I=1}^4 E_i^I = 0,$$

dressed closure: 
$$G_{i(K)} = \sum_{I=1}^4 [F_{KI}]^i_k E_i^I = 0,$$

vector constraint: 
$$H_I[N^I] = -4 \sum_{I,J=1}^4 N^I \text{Tr}(F_{IJ} \tau^j) E_j^J = 0, \quad \forall N^I : \sum_{I=1}^4 N^I = 0,$$

scalar constraint: 
$$H = \frac{8}{3} \sum_{I,J=1}^4 \text{Tr}(\tau^i F_{JI} \tau^j) E_i^I E_j^J = 0.$$

For example:

$$\{H_I[N^I], H_J[M^J]\} = -8\pi i G H_I[N, M]^I + \text{closure constraints},$$

$$[N, M]^I = \sum_{J=1}^4 \left( N^J \text{Tr}(F_{JI}) M^I - M^J \text{Tr}(F_{JI}) N^I \right).$$

By adding two additional conditions, we obtain a closed algebra:

- dressed closure constraint (a central term):  $\sum_{I=1}^4 [F_{KI}]^i_k E_i^I = 0$ .
- Boundary flatness:  $\varphi_T^* A = g^{-1} dg$ .

The reduced phase space has  $2 \times 2$  complex dimensions, i.e. the *simplicial graviton for selfdual gravity*.

What about gravity in  $2 + 1$  dimensions?

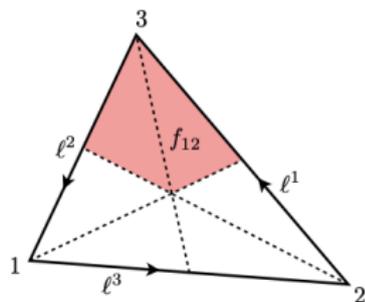
Three-dimensional (Euclidean) gravity admits formulation in terms of Ashtekar's connection dynamics [A. Ashtekar, R. Loll (1994)]:

- Kinematical phase space of  $SU(2)$  gauge connection and electric field:  $\{\tilde{E}_i^a(p), A^j_b(q)\} = 8\pi G \tilde{\delta}^{(2)}(p, q)$ ,
- Constraints just the same as in four-dimensional selfdual theory:
  - Gauss:  $D_a \tilde{E}_i^a = 0$ ,
  - Vector:  $F^i_{ab} \tilde{E}_i^b = 0$ ,
  - Hamilton:  $\epsilon_i^{jk} F^i_{ab} \tilde{E}_j^a \tilde{E}_k^b = 0$ .
- No local degrees of freedom:  $3 \times 2 - 3 - 2 - 1 = 0$ .

Hamiltonian lattice approach. Introduce triangulation of initial surface  $M$ .  
Each triangle equipped with phase space  $T^*SU(2)^3$ .

As before, we split each simplicial building block, i.e. every triangle  $\Delta$ , into smaller wedges  $f_{IJ}$ .

- Wedge holonomies:  $F_{IJ} = \text{Pexp}(-\oint_{f_{IJ}} A)$ .
- Boundary flatness  $\text{Pexp}(-\oint_{\partial\Delta} A) = \mathbb{1}$  does not imply wedge flatness.
- Constraints assume same form as before, but now straight-forward to solve.
- Constraints impose wedge flatness  $F_{IJ} = \mathbb{1}$ .



In three dimensions:

- Closure:  $\sum_{I=1}^3 E_i^I = 0$ .
- Dressed closure:  $\sum_{I=1}^3 [F_{KI}]^j_k E_j^I = 0$ .
- Vector:  $\sum_{I,J=1}^3 \text{Tr}(\tau^i F_{IJ}) N^I E_i^J = 0, \quad \forall N^I : \sum_{I=1}^3 N^I = 0$ .
- Hamilton:  $\sum_{I,J=1}^3 \text{Tr}(\tau^j F_{IJ} \tau^i) E_i^I E_j^J = 0$

**N.B.** Dressed closure implies  $F_{IJ} = \exp(-\mu^J E_j^J \tau^j) \exp(\mu^I E_i^I \tau^i)$ . Scalar and vector constraint imply, in turn,  $\sin(\frac{\mu^I}{2}) = 0$ , i.e. flatness of wedge holonomies.

The (unique) quantum state  $\Omega_\Delta$  for a single triangle  $\Delta$  that satisfies  $\widehat{F}_{IJ}|\Omega_\Delta\rangle = |\Omega_\Delta\rangle$  defines the BF vacuum:

$$\langle h_1, h_2, h_3; g_1, g_2, g_3 | \Omega_\Delta \rangle = \delta_{SU(2)}(F_{12}) \delta_{SU(2)}(F_{23}) \delta_{SU(2)}(F_{31}), \quad F_{IJ} = g_J^{-1} h_J^{-1} h_I g_I$$

The state for an entire triangulation is built by taking the tensor product over all triangles and tracing over boundary modes

$$|\Omega\rangle = \prod_{e:\text{edges}} \int_{SU(2)} dg_{s(e)} \int_{SU(2)} dg_{t(e)} \delta_{SU(2)}(g_{s(e)}^{-1} g_{t(e)}) \langle \{g_e\} | \Omega_{\Delta_1}, \Omega_{\Delta_2}, \dots \rangle.$$

**Conjecture:** Same construction possible in  $3+1$ , but now there are infinitely many allowed physical states  $|\Omega_T^\sigma\rangle$  labelled by radiative data  $\sigma$  for each tetrahedron. Superpositions of spin networks, “warp-network-states” ...

$$|\sigma_1, \sigma_2, \dots\rangle = \prod_{e:\text{edges}} \int_{SL(2,\mathbb{C})} dg_{s(e)} \int_{SL(2,\mathbb{C})} dg_{t(e)} \delta_{SL(2,\mathbb{C})}(g_{s(e)}^{-1} g_{t(e)}) \langle \{g_e\} | \Omega_{T_1}^{\sigma_1}, \Omega_{T_2}^{\sigma_2}, \dots \rangle$$

Main task ahead

The main open problem is how to glue adjacent tetrahedra.

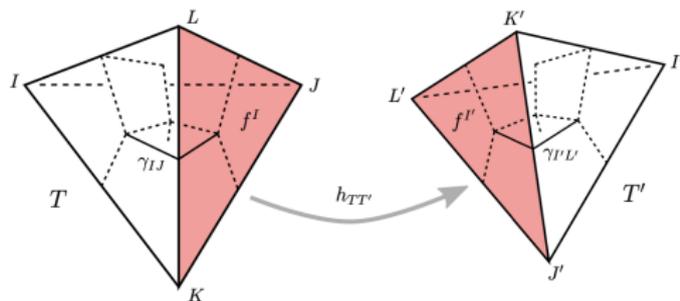
Necessary to go beyond Hamiltonian analysis for a single building block.

Action for a single tetrahedron

$$S[\underline{E}, \underline{h}, \underline{N}, \underline{g}] = \int_{\mathbb{R}} dt \left( \Theta_{\underline{E}, \underline{h}} \left( \frac{d}{dt} \right) - C_A(\underline{E}, \underline{h}, \underline{g}) N^A \right).$$

Coupled action from gluing tetrahedra together

$$S_{\Delta}[\underline{E}, \underline{h}, \underline{N}, \underline{\lambda}] = \sum_{T \in \Delta_3} S[\underline{E}_T, \underline{h}_T, \underline{N}_T, \underline{g}_T] - \sum_{e \in \Delta_1^*} \lambda_e^i \text{Tr}(\tau_i g_{s(e)} g_{t(e)}^{-1}).$$



## Summary:

- 1 New (quasi-local) regularization of the constraints. Possible connections to tensor networks, spinfoams, group field theory, quantum cosmology.
- 2 Regularisation possible only by introducing additional boundary modes (here: edge modes  $g_e \in SL(2, \mathbb{C})$ ).
- 3 Additional closure constraint necessary:
  - Otherwise algebra does not close
  - Otherwise counting does not match two physical modes of the continuum
- 4 In three spacetime dimensions, construction agrees with known results [B. Dittrich, M. Geiller, *BF vacuum* (2014)].

Main open problems:

1 Connection to real variables. Reality conditions. Barbero–Immirzi parameter. Strategy:

- Momentum shifted:  $\tilde{E}_i^a \rightarrow \frac{\beta+i}{i\beta} \tilde{\Pi}_i^a, \{\Pi, A\} = 1 = \{\bar{\Pi}, \bar{A}\}.$
- Reality conditions:  $\frac{\beta}{\beta+i} \tilde{\Pi}_i^a + \text{cc.} = 0.$
- Constraints:  $H_\beta = \frac{\beta}{\beta+i} H_{\mathbb{C}} + \text{cc.} = 0.$

2 Gluing of adjacent tetrahedra.

3 Matter couplings.

4 Connection to GFTs, spinfoams.

5 ...